



Patent  
Attorney's Docket No. 032304-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
John F. Shanley ) Group Art Unit: 3731  
Application No.: 10/057,414 ) Examiner: Michael H. Thaler  
Filed: January 25, 2002 ) Confirmation No.: 9725  
For: EXPANDABLE MEDICAL DEVICE )  
WITH LOCKING MECHANISM )

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

RECEIVED

OCT 03 2003

TECHNOLOGY CENTER R3700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$ 180.00 is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: September 26, 2003

By: Cindy A. Lynch

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Registration No. 38,699

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(05/03)



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Inventor Patent Application of

John F. Shanley

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SIXTH INFORMATION DISCLOSURE STATEMENT **RECEIVED**

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Alexandria, VA 22313-1450

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TECHNOLOGY CENTER R3700

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Documents

5,624,411	Tuch	04-29-1997
6,506,437	Harish et al.	01-14-2003
6,544,544	Hunter et al.	04-08-2003
6,558,733	Hossainy et al.	05-06-2003
US 2002/0123801	Pacetti et al.	09-05-2002

Foreign Documents

WO 99/16386	PCT	04-08-1999
WO 00/69368	PCT	11-23-2000
EP 0 747 069	Europe	12-11-1996
EP 1 118 325	Europe	07-25-2001

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c) the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

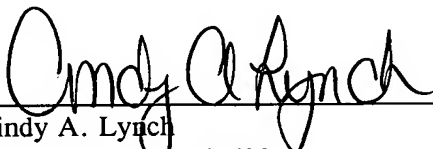
To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: September 26, 2003

By: \_\_\_\_\_

  
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